TECHNISCHE UNIVERSITÄT DRESDEN

Faculty of Computer Science Institute for System Architecture, Operating Systems Group

Microkernel-based Operating Systems - Introduction

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Lecture Goals

- Provide deeper understanding of OS mechanisms
- Illustrate alternative OS design concepts
- Promote OS research at TU Dresden
- Make you all enthusiastic about OS development in general and microkernels in particular

Organization: Lecture

- Lecture every Tuesday, 4:40 PM, INF/E01
- Slides: http://www.tudos.org -> Teaching -> Microkernel-based Operating Systems
- Subscribe to our mailing list: http://os.inf.tu-dresden.de/mailman/listinfo/mos2018
- This lecture is not: Microkernel construction (in summer term)

Organization: Exercises

- Exercises (roughly) bi-weekly
  Tuesday, 2:50 PM, INF/E08
- Practical exercises in the computer lab
- Paper reading exercises
  - Read a paper beforehand.
  - Sum it up and prepare 3 questions.
  - We expect you to actively participate in discussion.
- First exercise: next week
  - Practical Exercise: *Booting*
  - Room: to be announced

More Practical Stuff: Complex lab

- Complex lab in parallel to lecture
- Build several components of an OS
- “Komplexpraktikum” for (Media) Computer Science students
- Starts in 2 weeks, 2:50 PM, INF/E08

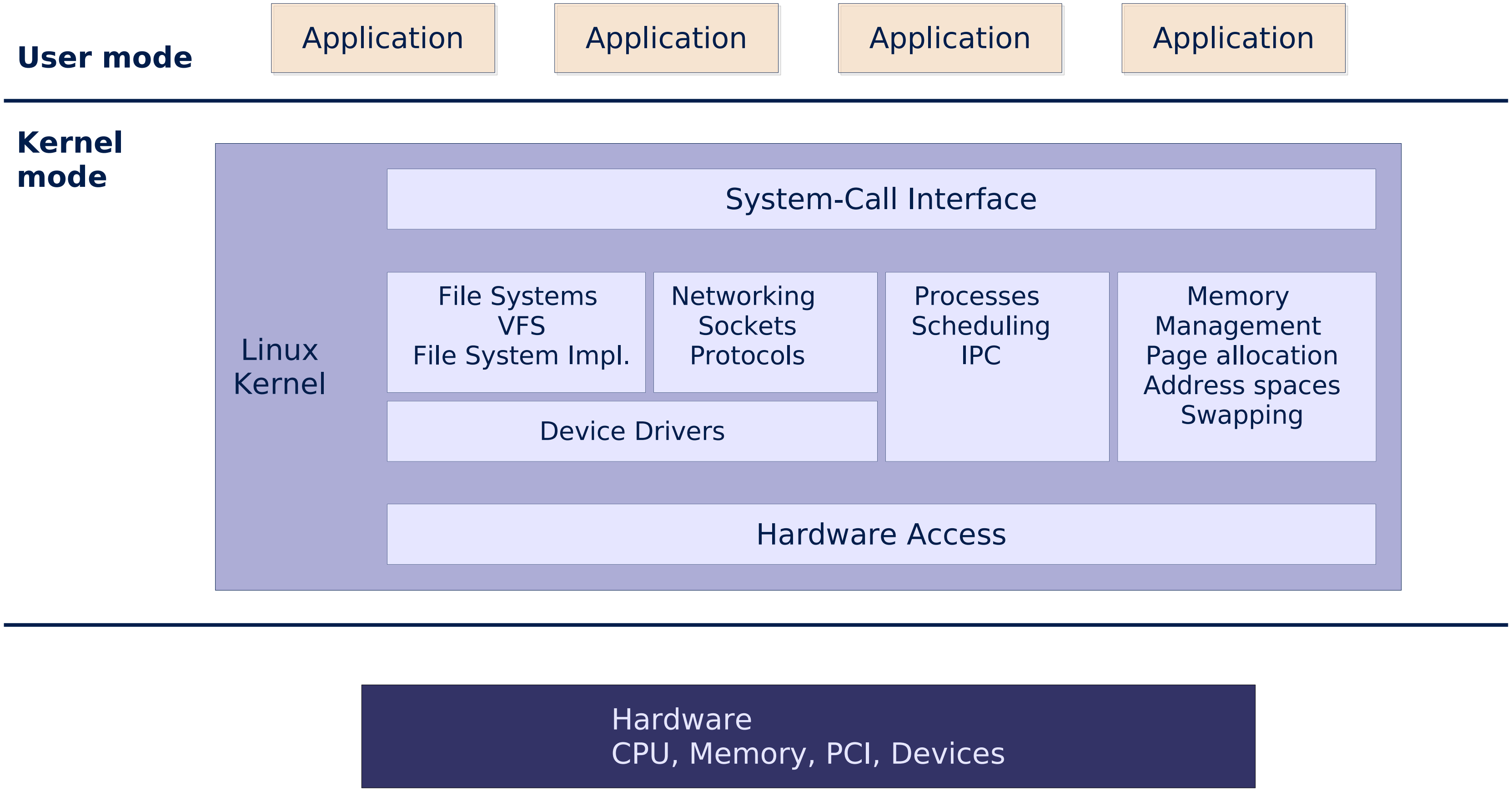
Schedule

Date	Lecture
Oct 09	Intro
Oct 16	Threads & Synchronization
Oct 23	IPC
Oct 30	Memory Management
Nov 06	Real-Time
Nov 13	Device Drivers
Nov 30	Resource Management
Nov 27	Heterogeneous Systems
Dec 04	Legacy Reuse
Dec 11	Virtualization
Jan 8	Secure Systems
Jan 15	Trusted Computing
Jan 22	Faults, Failures & Resilience
Jan 30	Spare slot

Purpose of Operating Systems

- Manage the available resources
  - Hardware (CPU, memory, ...)
  - Software (file systems, networking stack, ...)
- Provide easier-to-use interface to access resources
  - Unix: read/write data from/to sockets instead of fiddling with TCP/IP packets on your own
- Perform privileged / HW-specific operations
  - x86: ring 0 vs. ring 3
  - Device drivers
- Provide separation and collaboration
  - Isolate users / processes from each other
  - Allow cooperation if needed (e.g., sending messages between processes)

Monolithic kernels: Linux



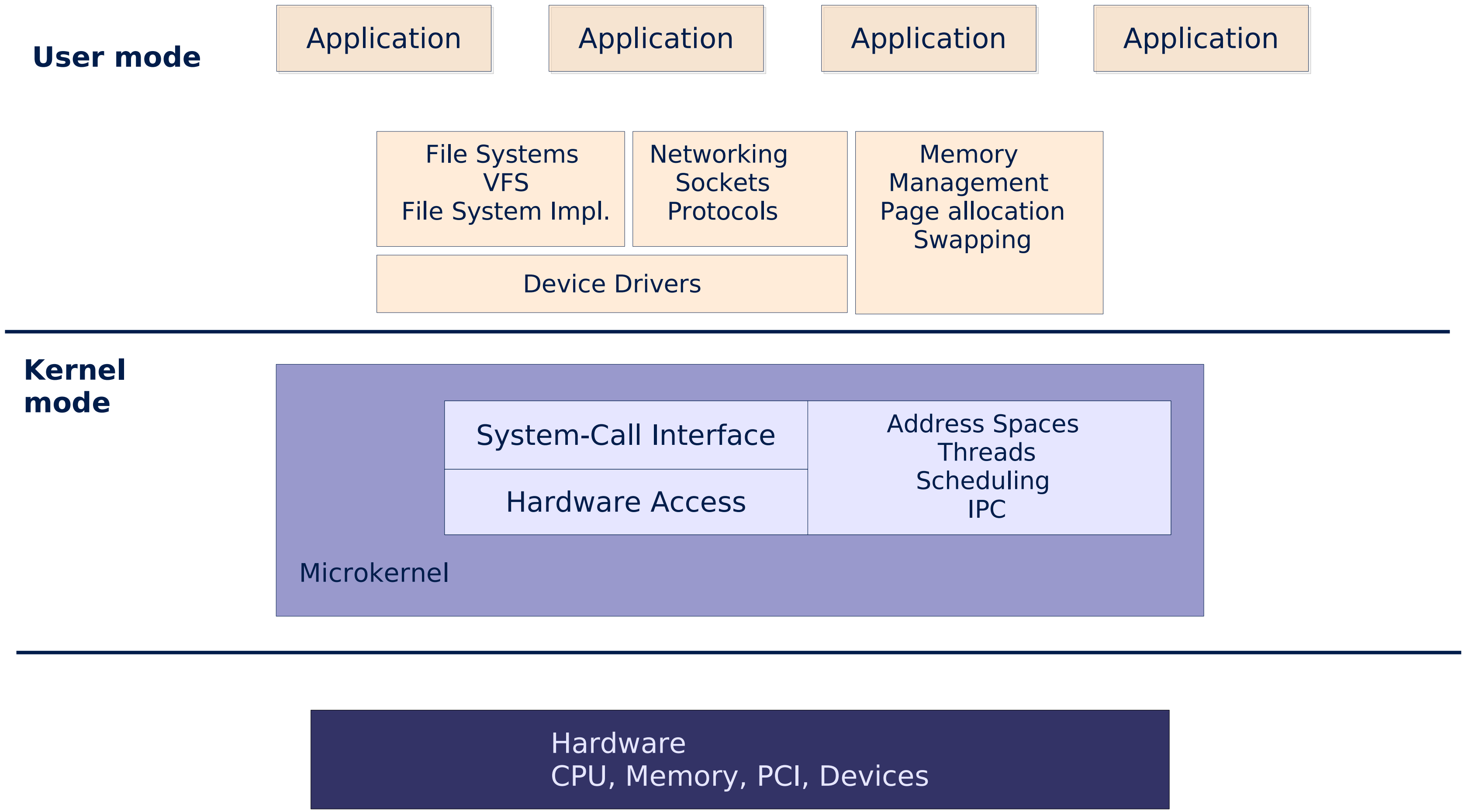
What's the problem?

- Security issues
  - All components run in privileged mode.
  - Direct access to all kernel-level data.
  - Module loading → easy living for rootkits.
- Resilience issues
  - Faulty drivers can crash the whole system.
  - 75% of today's OS kernels are drivers.
- Software-level issues
  - Complexity is hard to manage.
  - Custom OS for hardware with scarce resources?

The microkernel vision

- Minimal OS kernel
  - less error prone
  - small *Trusted Computing Base*
  - suitable for verification
- System services in user-level *servers*
  - flexible and extensible
- Protection between individual components
  - More resilient – crashing component does not (necessarily...) crash the whole system
  - More secure – inter-component protection

The microkernel vision



What microkernels can give us ...

- OS personalities
- Customizability
  - Servers may be configured to suit the target system (small embedded systems, desktop PCs, SMP systems, …)
  - Remove unneeded servers
- Enforce reasonable system design
  - Well-defined interfaces between components
  - No access to components besides these interfaces
  - Improved maintainability

The mother of all microkernels

- Mach – developed at CMU, 1985 - 1994
  - Rick Rashid (former head of MS Research)
  - Avie Tevanian (former Apple CTO)
  - Brian Bershad (professor @ U. of Washington)
  - ...

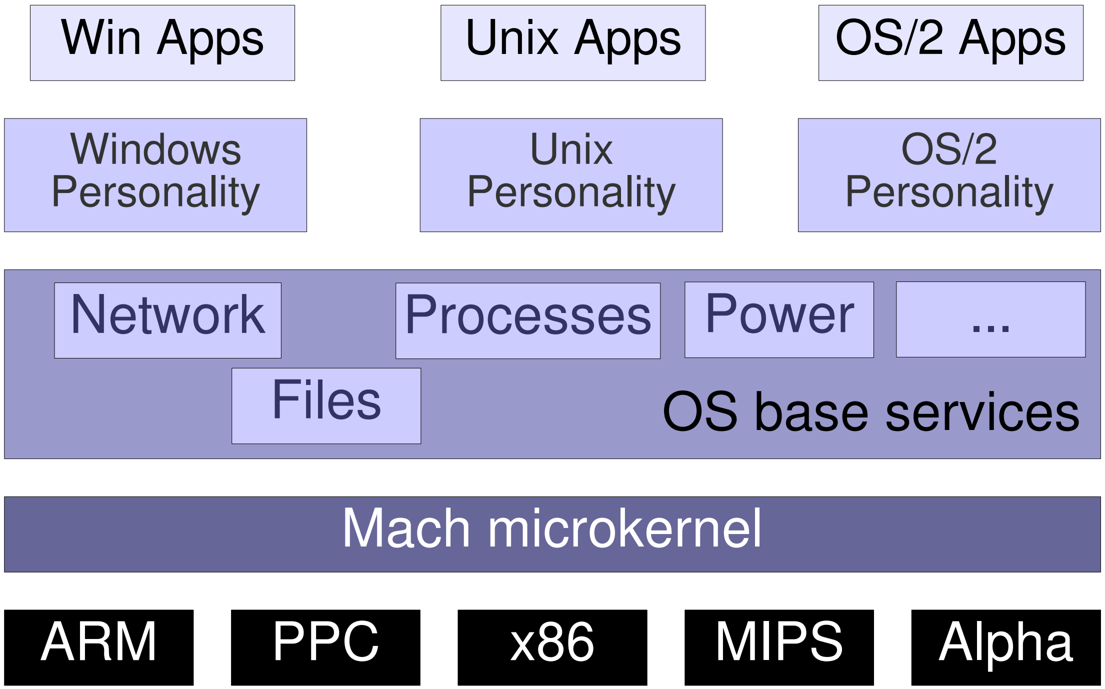
- Foundation for several real systems
  - Single Server Unix (BSD4.3 on Mach)
  - MkLinux (OSF)
  - IBM Workplace OS
  - NeXT OS → Mac OS X

Mach: Technical details

- Simple, extensible *communication kernel*
  - “Everything is a pipe.” – *ports* as secure communication channels
- Multiprocessor support
- Message passing by mapping
- Multi-server OS personality
- POSIX-compatibility
- Shortcomings
  - performance
  - drivers still in the kernel

Case study: IBM Workplace OS

- Main goals:
  - multiple OS personalities
  - run on multiple HW architectures



IBM Workplace OS: Why did it fail?

- Never finished (but spent 1 billion $)
- Failure causes:
  - Underestimated difficulties in creating OS personalities
  - Management errors, forced divisions to adopt new system without having a system
  - “Second System Effect”: too many fancy features
  - Too slow
- Conclusion: Microkernel worked, but system atop the microkernel did not

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IBM Workplace OS: Lessons learned

- OS personalities did not work
- Flexibility – but monolithic kernels became flexible, too (Linux kernel modules)
- Better design – but monolithic kernels also improved (restricted symbol access, layered architectures)
- Maintainability – still very complex
- Performance matters a lot

Microkernels: Proven advantages

- Subsystem protection / isolation
- Code size
  - Microkernel-based OS
    - Fiasco kernel: ~ 34,000 LoC
    - "HelloWorld" (+boot loader +root task): ~ 10,000 LoC
  - Linux kernel (3.0.4., x86 architecture):
    - Kernel: ~ 2.5 million LoC
    - +drivers: ~ 5.4 million LoC
  - (*generated using David A. Wheeler's 'SLOCCount'*)
- Customizability
  - Tailored memory management / scheduling / … algorithms
  - Adaptable to embedded / real-time / secure / … systems

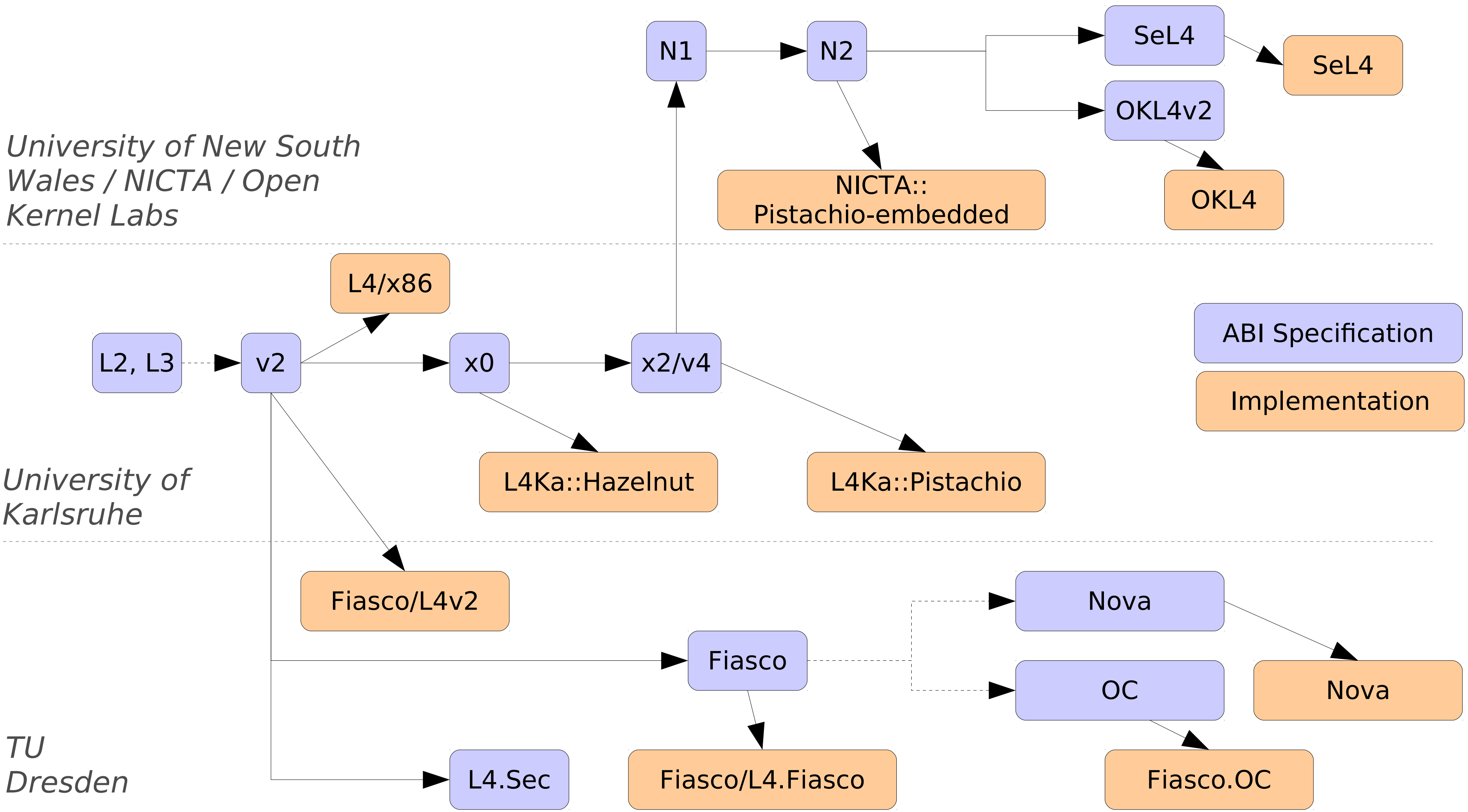
Challenges

- We need fast and efficient kernels
  - covered in the “Microkernel construction” lecture in the summer term
- We need fast and efficient OS services
  - Memory and resource management
  - Synchronization
  - Device Drivers
  - File systems
  - Communication interfaces
  - Subject of this lecture

Who is (or was) out there?

- Minix @ FU Amsterdam (Andrew Tanenbaum)
- Singularity @ MS Research
- EROS/CoyotOS @ Johns Hopkins University
- The L4 Microkernel Family
  - Originally developed by Jochen Liedtke at IBM and GMD
  - 2nd generation microkernel
  - Several kernel ABI versions

The L4 family – a timeline (or tree ...)



L4 concepts

- Jochen Liedtke:
  "A microkernel does no real work."
  - Kernel only provides inevitable mechanisms.
  - Kernel does not enforce policies.

- But what is inevitable?
  - Abstractions
    - Threads
    - Address spaces (tasks)
  - Mechanisms
    - Communication
    - Resource mapping
    - (Scheduling)

Taking a closer look at L4

Case study: **L4/Fiasco.OC**

Case study: L4/Fiasco.OC

- "Everything is an object"
  - Task — Address spaces
  - Thread — Activities, scheduling
  - IPC Gate — Communication, resource mapping
  - IRQ — Communication
  - Factory — Create other objects, enforce resource quotas

- One system call: **invoke_object()**
  - Parameters passed in UTCB
  - Types of parameters depend on type of object

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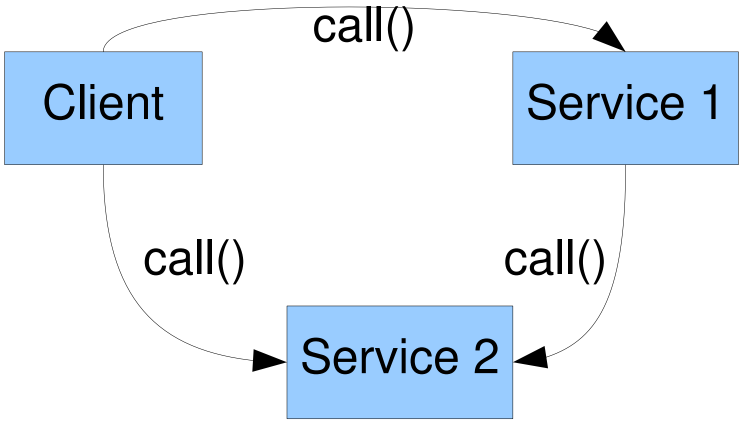
L4/Fiasco.OC: Types of Objects

- Kernel-provided objects
  - Threads
  - Tasks
  - IRQs
  - …

- Generic communication object: IPC gate
  - Send message from sender to receiver
  - Used to implement **new objects** in **user-level** applications

L4/Fiasco.OC: User-level objects

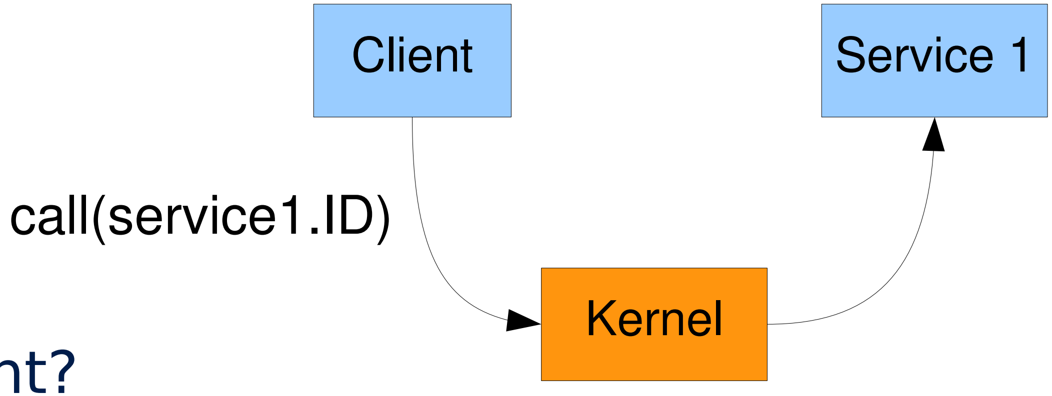
- Everything above kernel built using user-level objects that provide a service
  - Networking stack
  - File system
  - ...



- Kernel provides
  - Object creation/management
  - Object interaction: Inter-Process Communication (IPC)

L4/Fiasco.OC: How to call objects?

- To call an object, we need an address:
  - Telephone number
  - Postal address
  - IP address
  - ...



- Simple idea, right?
- ID is wrong? Kernel returns ENOTEXIST
- But not so fast! This scheme is insecure:
  - Client could simply “guess” IDs brute-force.
  - Existence/non-existence can be used as a covert channel

L4/Fiasco.OC: Local names for objects

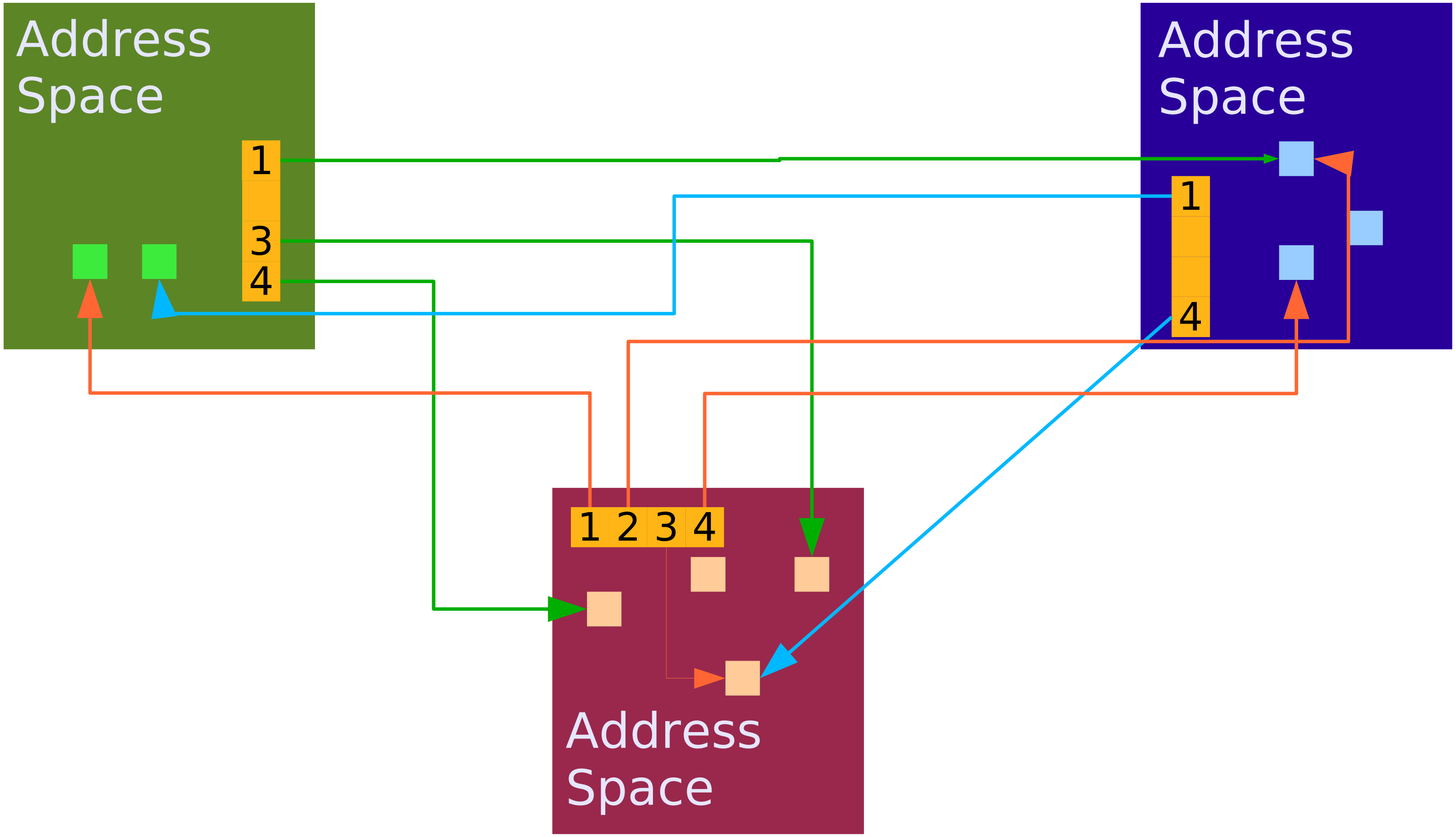
- Global object IDs are
  - insecure (forgery, covert channels).
  - inconvenient (programmer needs to know about partitioning in advance)

- Solution in Fiasco.OC
  - Task-local *capability space* as an indirection
  - *Object capability* required to invoke object

- Per-task name space
  - Maps names to object capabilities.
  - Configured by task's creator

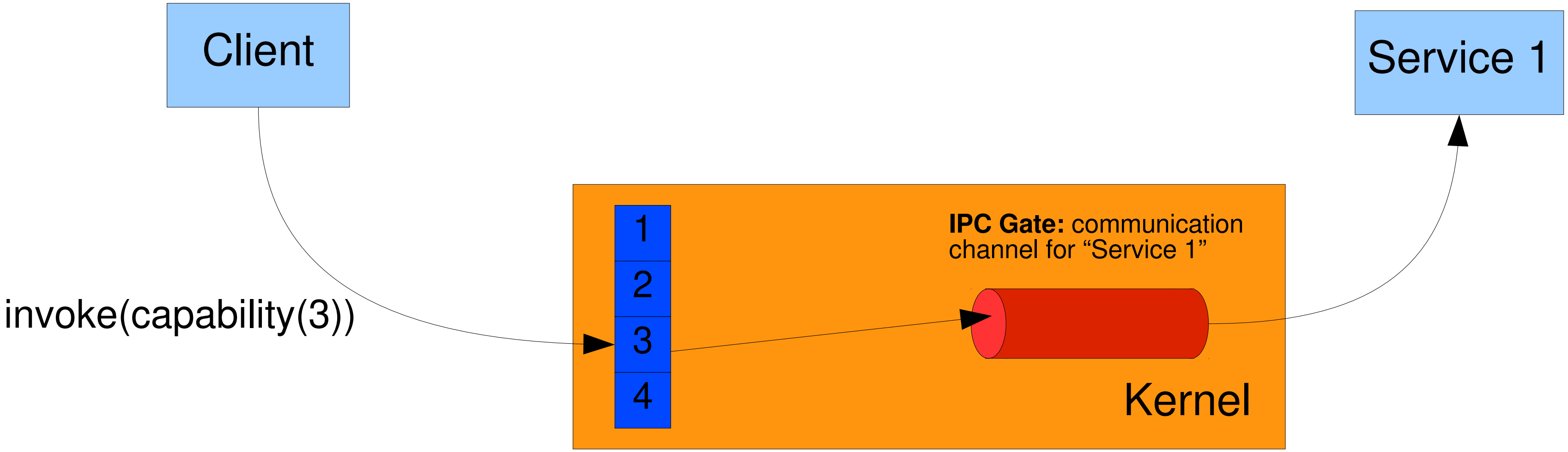
Capabilities / Local Names

Indirection allows for security and flexibility.



L4/Fiasco.OC: Object capabilities

- Capability:
  - Reference to an object
  - Protected by the Fiasco.OC kernel
    - Kernel knows all capability-object mappings.
    - Managed as a per-process capability table.
    - User processes only use indexes into this table.



L4/Fiasco.OC: Communication

- Kernel object for communication: *IPC gate*

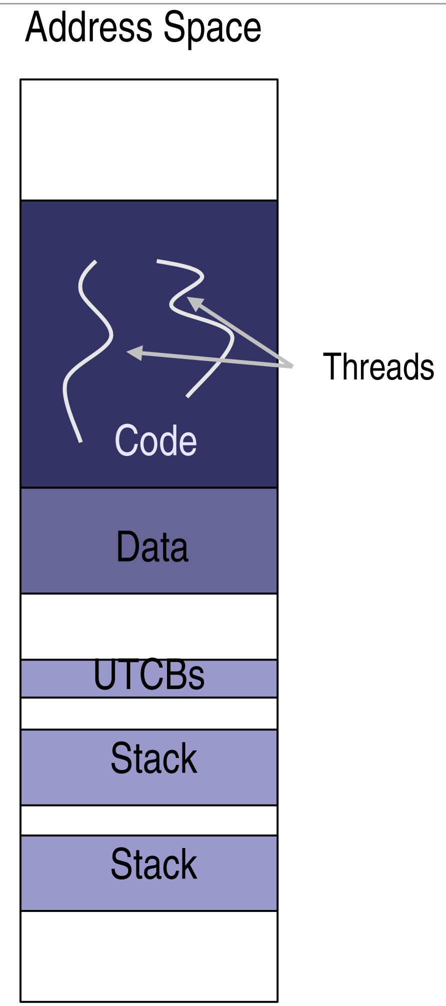
- Inter-process communication (IPC)
  - Between threads
  - Synchronous

- Communication using IPC gate:
  - Sender thread puts message into its UTCB
  - Sender invokes IPC gate, blocks sender until receiver ready (i.e., waits for message)
  - Kernel copies message to receiver thread's UTCB
  - Both continue, knowing that message has been transferred/received

More L4 concepts

L4/Fiasco.OC: Threads

- Thread
  - Unit of Execution
  - Implemented as kernel object
- Properties managed by the kernel:
  - Instruction Pointer (EIP)
  - Stack (ESP)
  - Registers
  - User-level TCB
- User-level applications need to
  - allocate stack memory
  - provide memory for application binary
  - find entry point
  - ...



L4/Fiasco.OC: Interrupts

- Kernel object: IRQ
- Used for hardware and software interrupts
- Provides asynchronous signaling
  - invoke_object(irq_cap, WAIT)
  - invoke_object(irq_cap, TRIGGER)

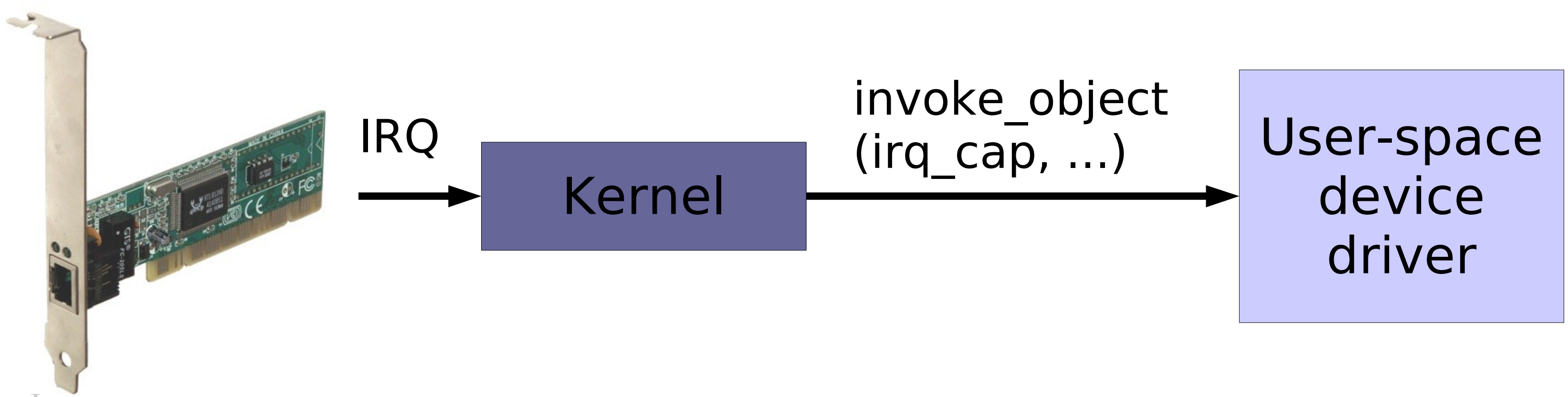


Image source:
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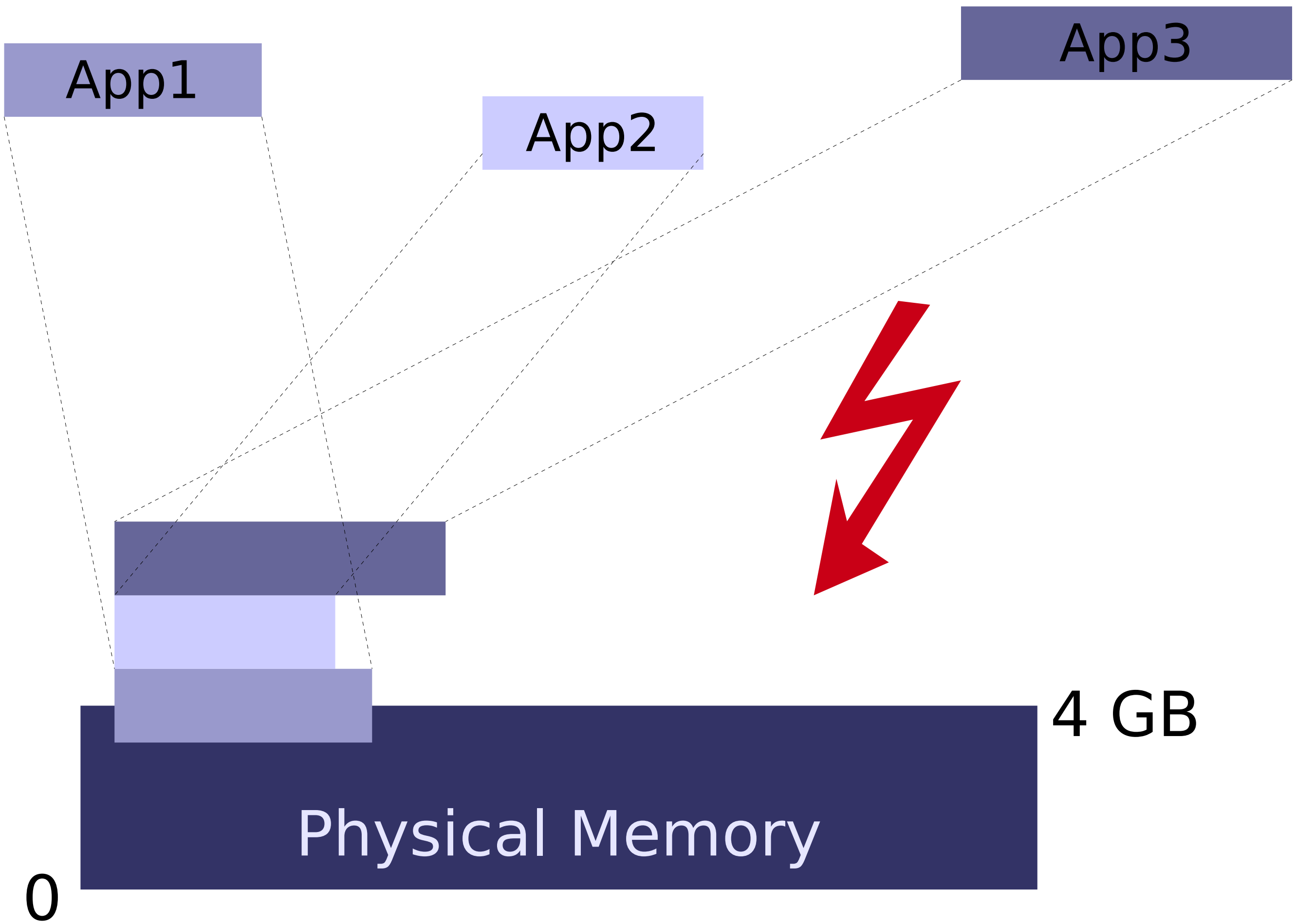
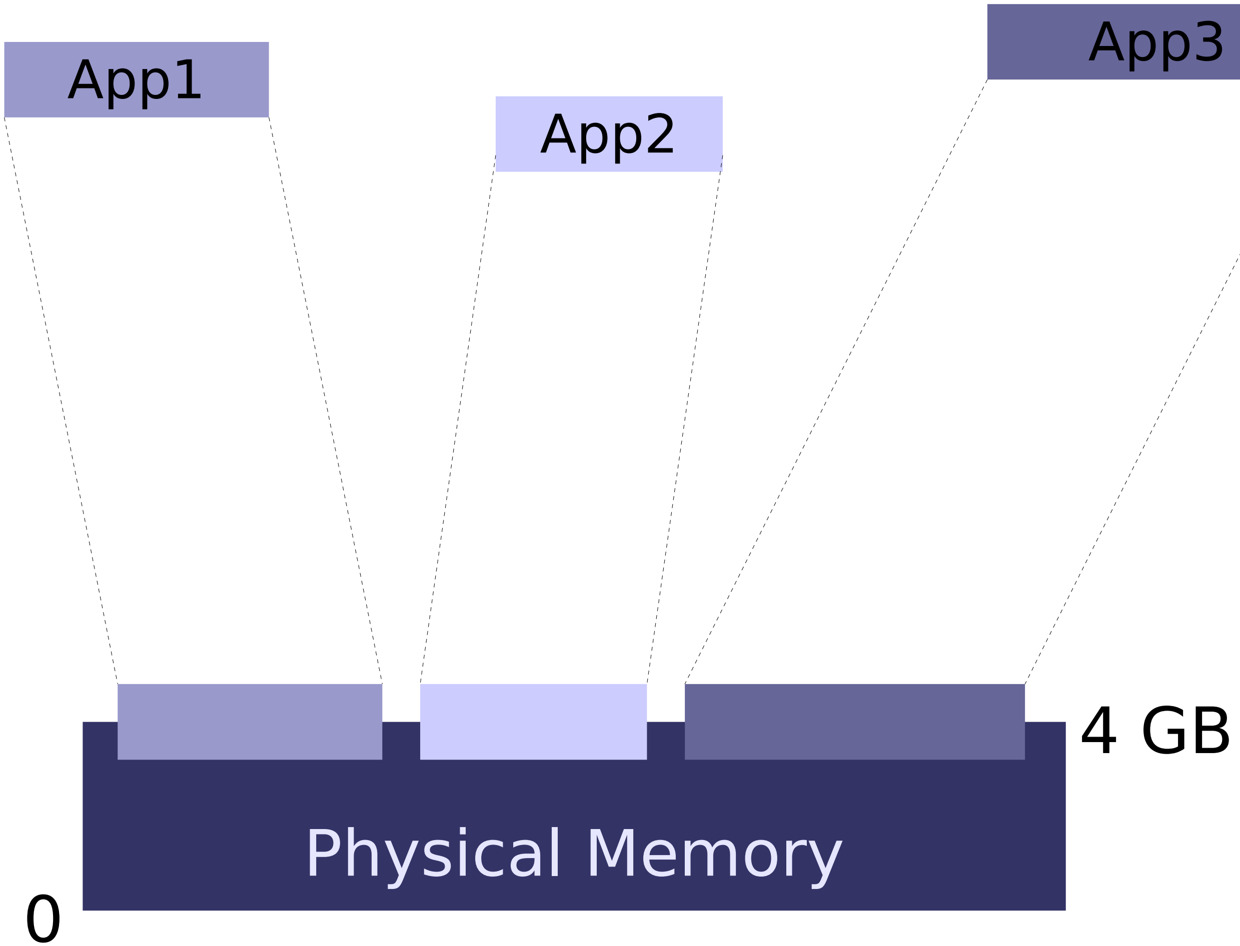
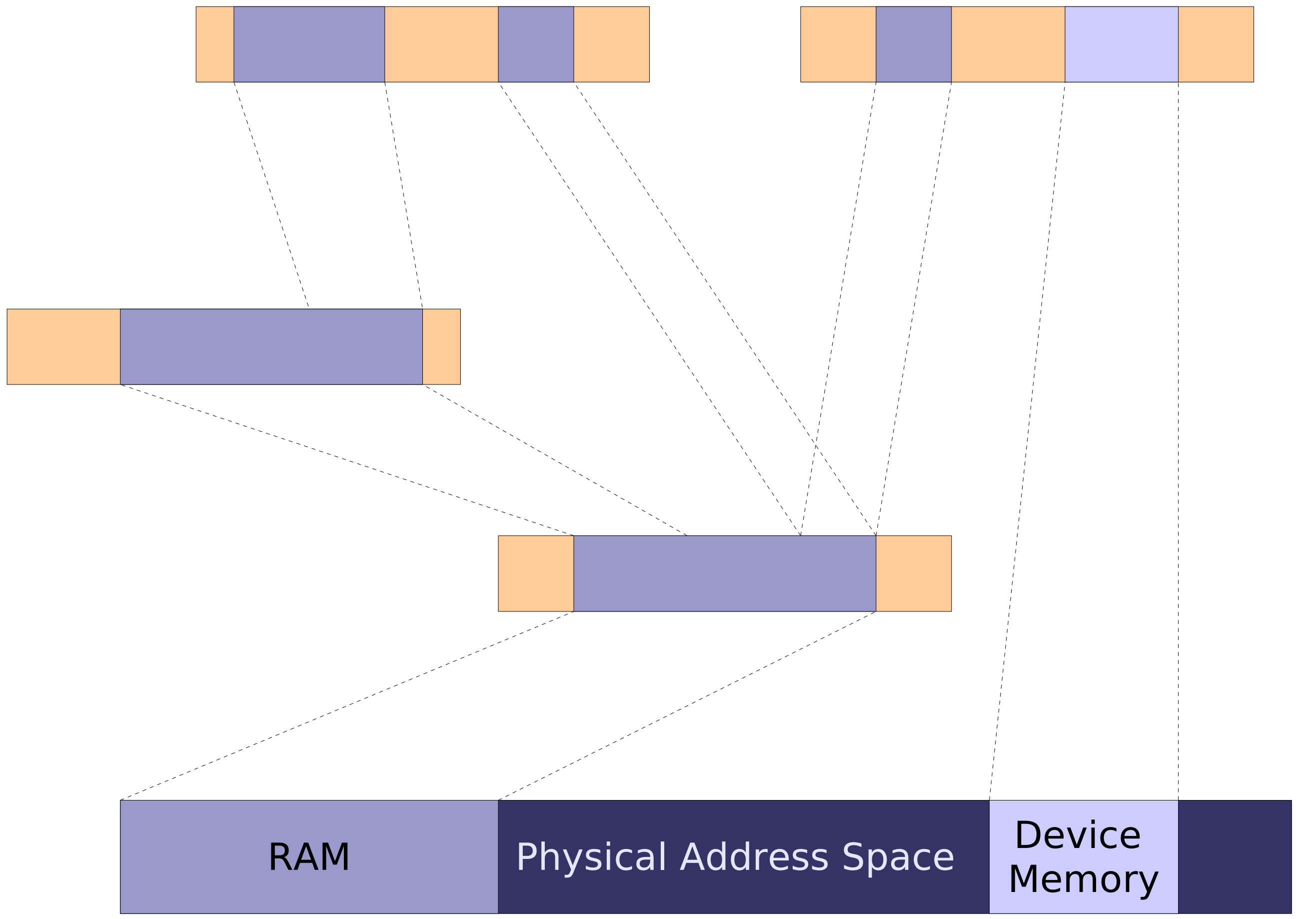
Problem: Memory partitioning



Solution: Virtual Memory



L4: Recursive address spaces



L4: Resource Mappings

- If a thread has access to a capability, it can map this capability to another thread
- Mapping / not mapping of capabilities used for implementing access control
- Abstraction for mapping: *flexpage*
- Flexpages describe mapping
  - location and size of resource
  - receiver's rights (read-only, mappable)
  - type (memory, I/O, communication capability)

L4/Fiasco.OC: Object types

- Summary of object types
  - Task
  - Thread
  - IPC Gate
  - IRQ
  - Factory

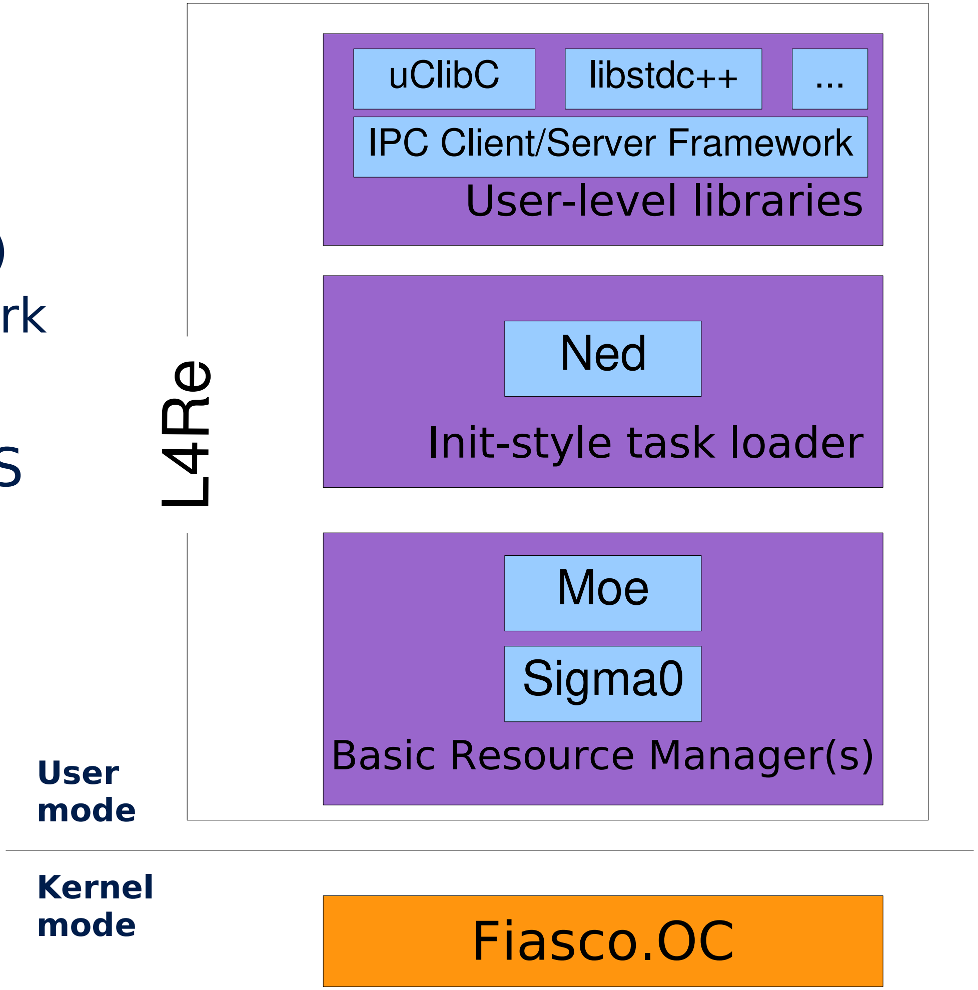
- Each task gets initial set of capabilities for some of these objects at startup

What can we build with all this?

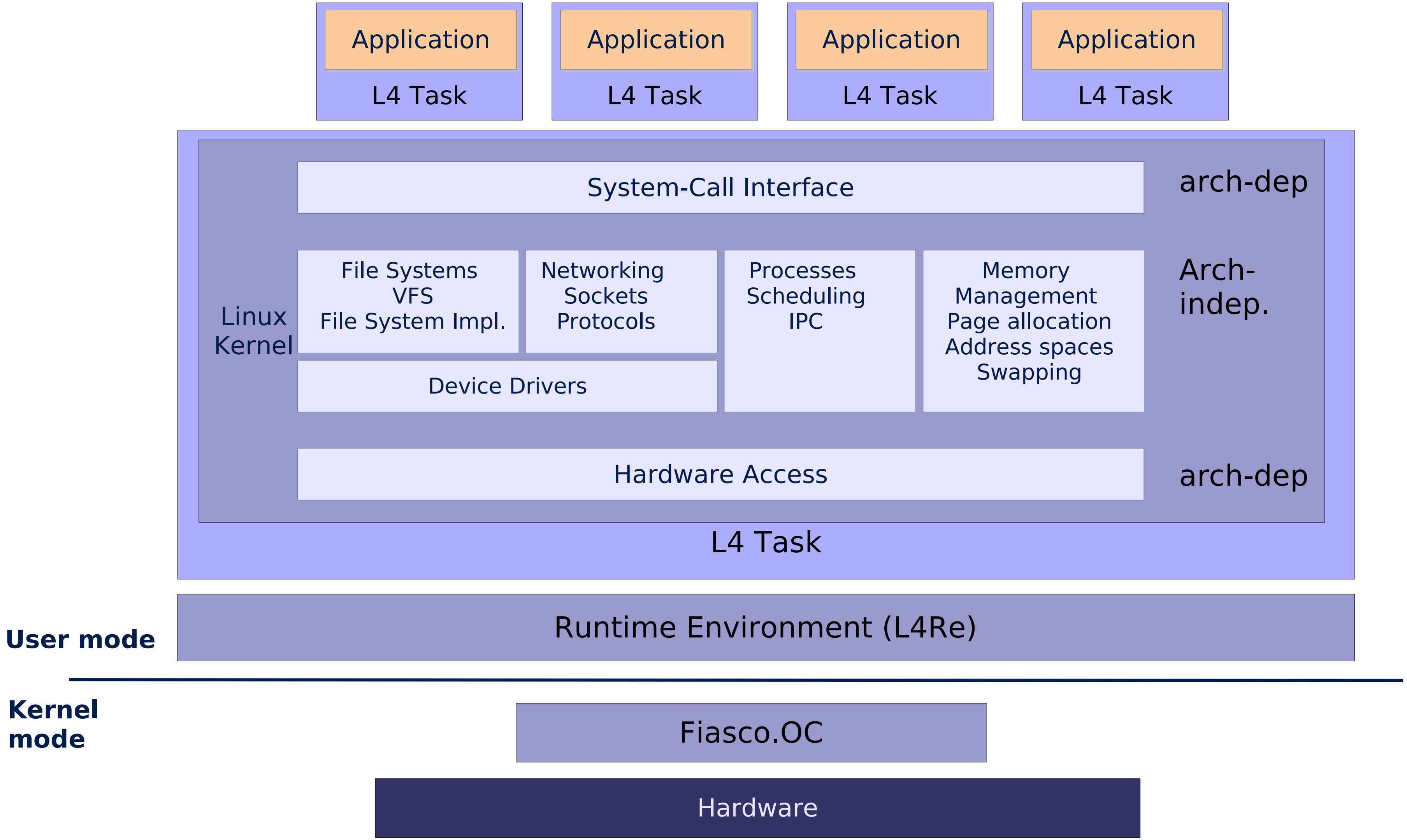
Kernel vs. Operating System

- Fiasco.OC is not a full operating system!
  - No device drivers (except UART + timer)
  - No file system / network stack / …
- A microkernel-based OS needs to add these services as user-level components

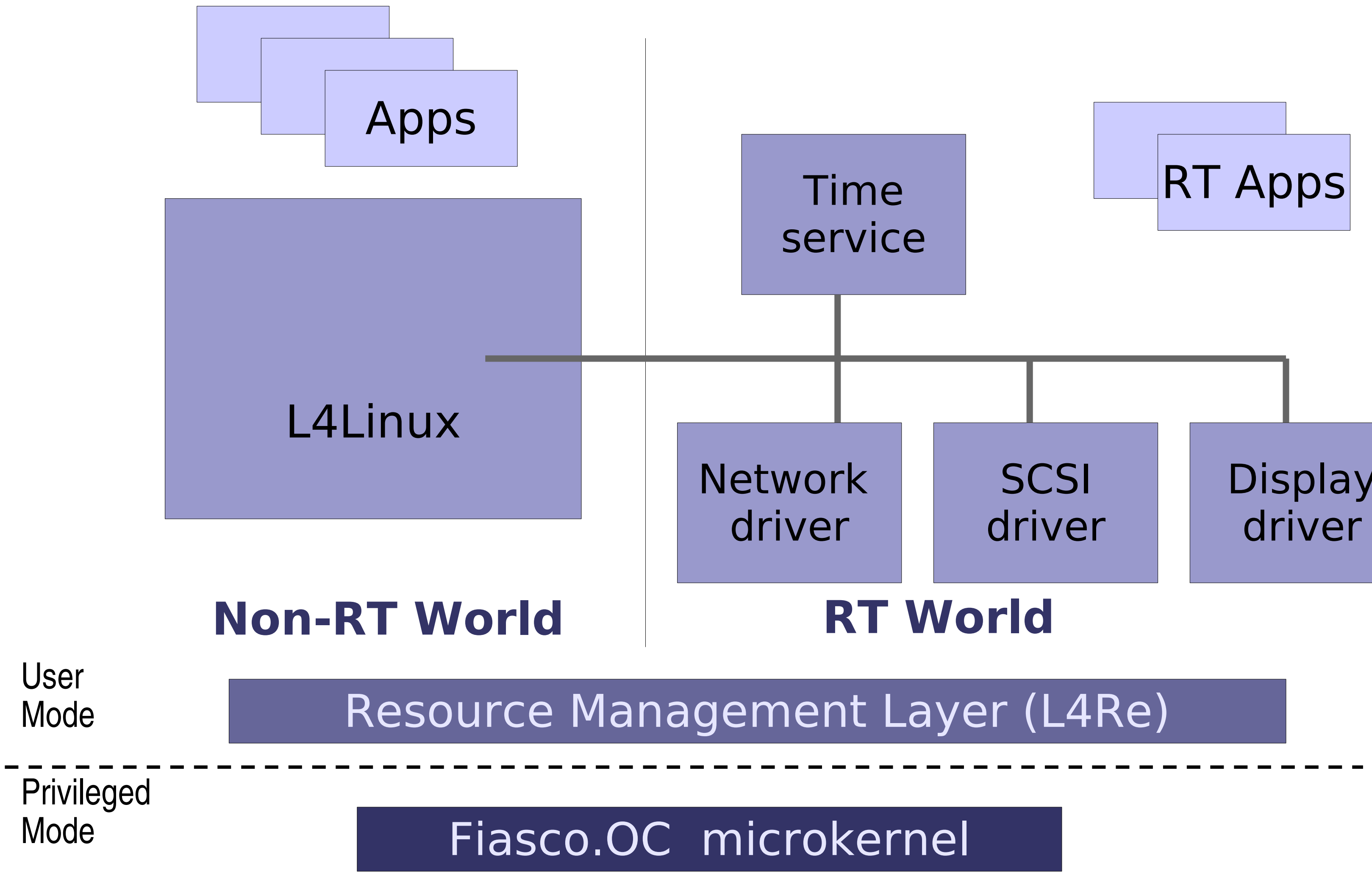
→ L4Re – L4 Runtime Environment



Linux on L4

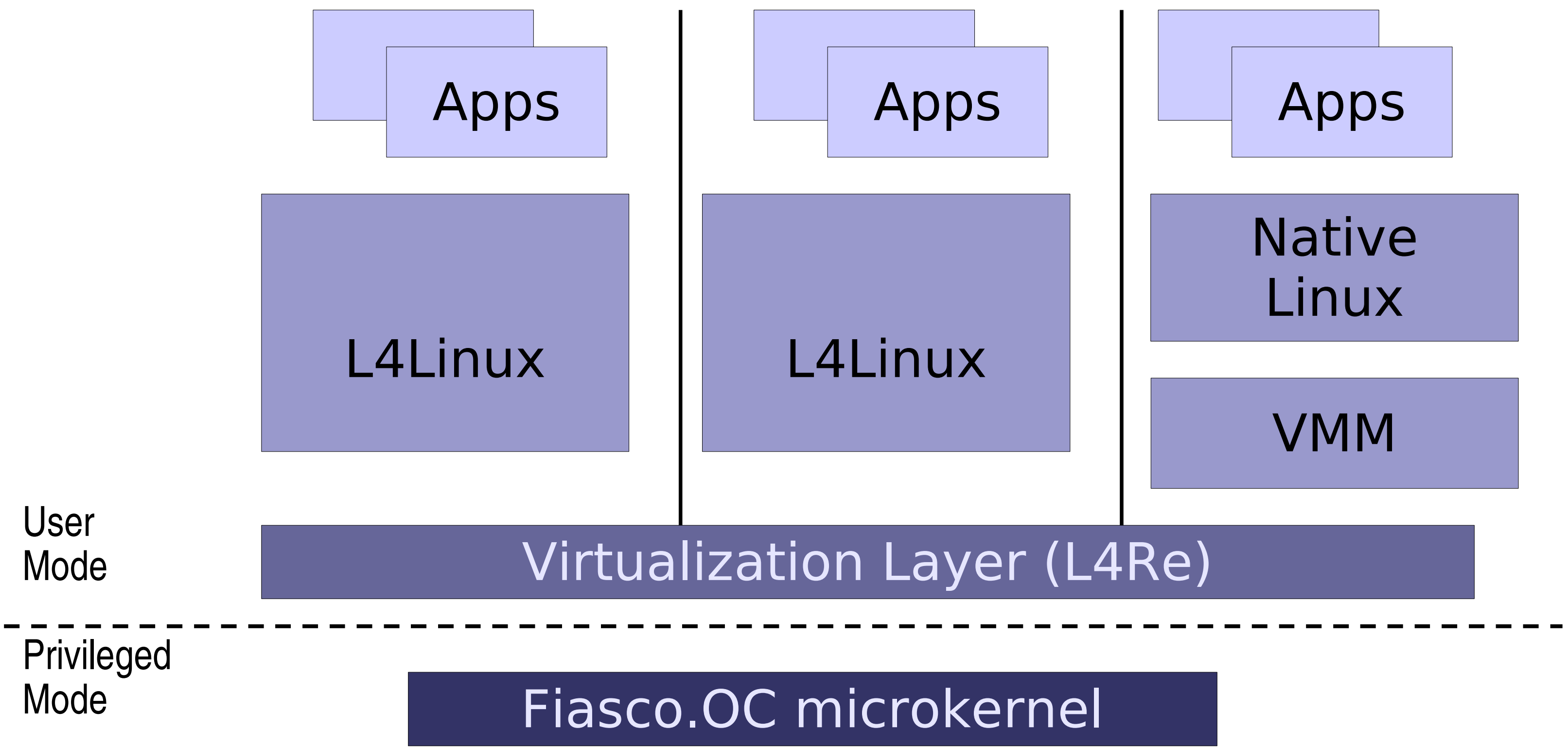

Application
L4 Task
Application
L4 Task
Application
L4 Task
Application
L4 Task
System-Call Interface
arch-dep
Linux Kernel
File Systems
VFS
File System Impl.
Networking
Sockets
Protocols
Processes
Scheduling
IPC
Memory Management
Page allocation
Address spaces
Swapping
Arch-indep.
Device Drivers
Hardware Access
arch-dep
L4 Task
Runtime Environment (L4Re)
User mode
Kernel mode
Fiasco.OC
Hardware

The Dresden Real-Time Operating System



Virtual machines

- Isolate not only processes, but also complete Operating Systems (compartments)
- “Server consolidation”



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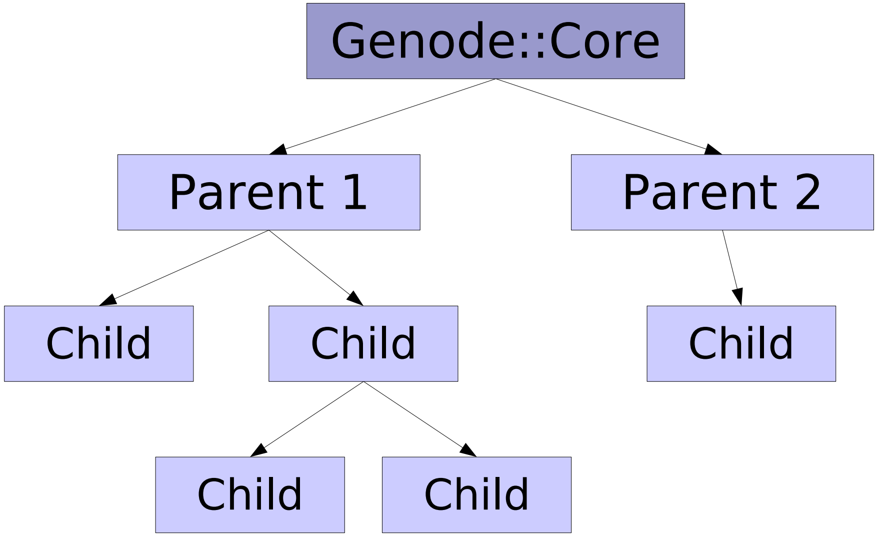
Genode

- Genode := C++-based OS framework developed here in Dresden
- Aim: hierarchical system in order to
  - Support resource partitioning
  - Layer security policies on top of each other



Lecture outline

- **Basic mechanisms and concepts**
  - Memory management
  - Tasks, Threads, Synchronization
  - Communication
- **Building real systems**
  - What are resources and how to manage them?
  - How to build a secure system?
  - How to build a real-time system?
  - How to reuse existing code (Linux, standard system libraries, device drivers)?
  - How to improve robustness and safety?

Outlook

- Next lecture:
  - "Threads & Synchronization"
  - Next week (Oct 16, 4:40 PM)

- First exercise:
  - Practical Exercise: *Booting*
  - Room will be announced on mailing list